BEFORE THE PERSONNEL APPEALS BOARD STATE OF WASHINGTON

| PAUL JACKSON, |) |
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| Appellant, |) Case No. SUSP-01-0020 |
| V. | FINDINGS OF FACT, CONCLUSIONS O LAW AND ORDER OF THE BOARD |
| DEPARTMENT OF SOCIAL AND HEALTH ERVICES, |)) |
| Respondent. |) |

I. INTRODUCTION

- 1.1 **Hearing.** This appeal came on for hearing before the Personnel Appeals Board, WALTER T. HUBBARD, Chair, and GERALD L. MORGEN, Vice Chair. The hearing was held at the office of the Personnel Appeals Board in Olympia, Washington, on May 1, 2002. RENÉ EWING, Member, did not participate in the hearing or in the decision in this matter.
- 1.2 **Appearances.** Appellant was not present and no representative appeared on his behalf. Colin Jackson, Assistant Attorney General, represented Respondent Department of Social and Health Services.
- 1.3 **Nature of Appeal.** This is an appeal from the disciplinary sanction of a 10-day suspension for neglect of duty, gross misconduct and willful violation of published employing agency rules or regulations. Respondent alleged that Appellant emotionally and verbally abused a resident.
- 1.4 **Citations Discussed.** WAC 358-30-170; <u>Baker v. Dep't of Corrections</u>, PAB No. D82-084 (1983); <u>McCurdy v. Dep't of Social & Health Services</u>, PAB No. D86-119 (1987); <u>Rainwater v. School for the Deaf</u>, PAB No. D89-004 (1989); <u>Skaalheim v. Dep't of Social & Health Services</u>, PAB No. D93-053 (1994).

II. FINDINGS OF FACT

- 2.1 Appellant Paul Jackson is a Residential Rehabilitation Counselor (RRC) 2 and permanent employee of Respondent Department of Social and Health Services (DSHS) at the Special Commitment Center (SCC). Appellant and Respondent are subject to Chapters 41.06 and 41.64 RCW and the rules promulgated thereunder, Titles 356 and 358 WAC. Appellant filed a timely appeal on May 30, 2001.
- 2.2 The SCC is a civil commitment program for violent sexual offenders who have a high risk of re-offending. The program is designed for residents with mental abnormalities and a history of having committed one or more sexual crimes. The SCC's mission is to care, control and treat difficult sexual offenders for their eventual release. Residents of the SCC are occasionally abusive toward staff. Staff receives training in the proper way to respond to verbal abuse, threats and harassment from residents. In addition, staff is made aware when they are employed with the SCC that they must be able to deal with verbal abuse, threats to themselves and their families, and other forms of harassment from residents; that the residents have behavioral, psychiatric, social, medical and correctional problems; and that the residents may exhibit bizarre and aggressive behavior.
- 2.3 Appellant began employment at the SCC in November 1998. Prior to the incident giving rise to this appeal, Appellant had no history of formal disciplinary action, however, he had received a written counseling memo and a memo of concern. In addition, Appellant's performance evaluation noted that Appellant's interactions with residents needed to be collaborative and persuasive rather than direct and confrontational. Appellant received extensive training specific to professional behavior and handling residents with mental health issues.
- 2.4 By letter dated May 18, 2001, Mark Seling, Superintendent, informed Appellant of his 10-day suspension for neglect of duty, gross misconduct and willful violation of the published employing agency or Department of Personnel rules or regulations. Superintendent Seling alleged

Personnel Appeals Board 2828 Capitol Boulevard Olympia, Washington 98504 (360) 586-1481 that on March 3, 2001, Appellant used demeaning remarks, profanities, derogatory nicknames, inappropriate shouting, finger pointing and threats of physical harm during an interaction with resident James P.

- 2.5 On March 3, 2001, Appellant and RRC 2 Anthony Cavalier were working the A-expansion of the SCC. James P. was a resident of the A-expansion. At approximately 8:30 a.m., James asked Mr. Cavalier what had happened to his breakfast because it was not on the cabinet where James expected it to be. When Mr. Cavalier told James that he did not know where James' breakfast was, James looked in the trashcan and found that the remains of his breakfast had been thrown away. James said, "I'll handle this" and walked into the dayroom where Appellant was sitting.
- James confronted Appellant and asked, "Why are you eating my food?" Mr. Cavalier then heard yelling and turned on the closed circuit television monitor. He saw Appellant point his finger in James' face and say, "Don't you ever call me punk thief. I'll fuck you up. Fuck you." James responded, "Try it punk. Thief. Fuck you, you stole my food."
- 2.7 Mr. Cavalier went into the dayroom and as Appellant and James continued to argue, Mr. Cavalier stepped between them and told James to leave the area. Mr. Cavalier then escorted James to his room.
- 2.8 Mr. Cavalier called the supervisor, RRC 3 Marge Southard, and informed her of the incident. Ms. Southard spoke with Appellant and reassigned him from the A-expansion to the Lower A-POD. As Appellant was leaving the area, he and James loudly exchanged comments such as, "fuck you," "punk," "thief," and "food stealer."
- 2.9 On March 4, 2001, Ms. Southard completed an Incident Report (IR). The IR was referred to Allison Brady, SCC Investigator, who reviewed the IR and attached witness statements and interviewed Mr. Cavalier, James, and RRC 2 James Wilson from the night shift. Residential

Rehabilitation Manager Alan McLaughlin interviewed Appellant. Mr. McLaughlin summarized the results of the IR investigation and forwarded the information to Superintendent Seling.

2.10 Following Superintendent Seling's direction, on March 13, 2001, RRC 3 Tabitha Yockey, initiated a Conduct Investigation Report (CIR). Mr. McLaughlin investigated the CIR. On March 30, 2001, Mr. McLaughlin completed his investigation and forwarded the results to Superintendent Seling.

2.11 Superintendent Seling reviewed all the investigation information, including Appellant's written response, and met with Appellant before determining that misconduct had occurred. Superintendent Seling concluded that Appellant neglected his duty to provide a safe, therapeutic environment for James and to model appropriate professional behavior. Superintendent Seling determined that Appellant was aware of DSHS policies, yet he willfully violated the policy on resident abuse and his actions adversely impacted the SCC's ability to care, control and treat James. Superintendent Seling was concerned that Appellant did not express remorse for his actions or acknowledge any wrong doing, but instead tried to rationalize his behavior by alleging that James had provoked his response. Although Appellant had received informal discipline regarding his interactions with residents, Superintendent Seling determined that a 10-day suspension was the appropriate disciplinary sanction to impose because this was Appellant's first formal discipline.

2.12 DSHS Policy 8.02 addresses client abuse and states, in relevant part:

<u>Abuse</u> means any act of physical or mental mistreatment or injury which harms or threatens a person through action or inaction by another individual.

<u>Malice or Maliciously</u> means an evil intent, wish or design to vex, annoy, or injure another person. Such malice may be inferred from an act done in willful disregard of the rights of another, or an act wrongfully done without just cause or excuse, or an act or omission of duty betraying a willful disregard of social duty.

<u>Negligent Treatment</u> means an act or omission which evinces a serious disregard of consequences of such magnitude as to constitute a clear and present danger to the patient's health, welfare, and safety.

<u>Emotional/Verbal Abuse</u> may include, but is not limited to: verbal teasing, humiliation, belittling, or ridiculing the client; use of profanities and/or obscenities in the client's presence; withholding client's meals; capricious withdrawal of client's privileges. . . ."

III. ARGUMENTS OF THE PARTIES

- 3.1 Respondent argues that Appellant failed to comply with the training he received, failed to fulfill the SCC mission, and engaged in resident abuse when he exchanged inappropriate and threatening verbal profanities with James. Respondent contends that even if James provoked Appellant, Appellant's response was inappropriate. Respondent argues that it is never appropriate to yell at a resident, to point a finger in a resident's face, to use profanity toward a resident, or to threaten a resident. Respondent contends that Appellant's actions were contrary to agency policies and training, constituted a neglect of duty and rose to the level of gross misconduct.
- 3.2 Appellant did not provide a defense to the charges nor did he dispute the appropriateness of the disciplinary sanction before the Board.

IV. CONCLUSIONS OF LAW

- 4.1 The Personnel Appeals Board has jurisdiction over the parties hereto and the subject matter herein.
- 4.2 In a hearing on appeal from a disciplinary action, Respondent has the burden of supporting the charges upon which the action was initiated by proving by a preponderance of the credible evidence that Appellant committed the offenses set forth in the disciplinary letter and that the sanction was appropriate under the facts and circumstances. WAC 358-30-170; <u>Baker v. Dep't of Corrections</u>, PAB No. D82-084 (1983).
- 4.3 Neglect of duty is established when it is shown that an employee has a duty to his or her employer and that he or she failed to act in a manner consistent with that duty. McCurdy v. Dep't of Social & Health Services, PAB No. D86-119 (1987).

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| | 4.4 Gross misconduct is flagrant misbehavior which adversely affects the agency's ability to |
| 3 | carry out its functions. Rainwater v. School for the Deaf, PAB No. D89-004 (1989). |
| 4 | 4.5 Willful violation of published employing agency or institution or Personnel Resources |
| 5 | Board rules or regulations is established by facts showing the existence and publication of the rules |
| 6 | or regulations, Appellant's knowledge of the rules or regulations, and failure to comply with the |
| 7 | rules or regulations. A willful violation presumes a deliberate act. Skaalheim v. Dep't of Social & |
| 8 | Health Services, PAB No. D93-053 (1994). |
| 9 10 11 12 13 | 4.6 Under the totality of the undisputed facts and circumstances, Respondent has met its burden of proving that Appellant neglected his duty, violated agency policy and that his actions rose to the level of gross misconduct. In addition, Respondent has proven that the disciplinary sanction of a 10-day suspension is appropriate. Therefore, the appeal should be denied. |
| 14 | V. ORDER |
| 15 | NOW, THEREFORE, IT IS HEREBY ORDERED that the appeal of Paul Jackson is denied. |
| 16 17 | DATED this, 2002. |
| 18 | WASHINGTON STATE PERSONNEL APPEALS BOARD |
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| 22 | Gerald L. Morgen, Vice Chair |
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